

# Sum rules papers - Errata

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## AMRX paper, [7]

p. 301 line 21 :

$$(0.1) \quad \begin{aligned} a_1 &= \frac{\sqrt{(1 + \kappa_1)(1 + \kappa_2)}}{(2 + \kappa_1 + \kappa_2)^{3/2}}, \quad a_k = \frac{\sqrt{(1 + \kappa_1 + \kappa_2)(1 + \kappa_1)(1 + \kappa_2)}}{(2 + \kappa_1 + \kappa_2)^2} \quad (k \geq 2) \\ b_1 &= \frac{1 + \kappa_1}{2 + \kappa_1 + \kappa_2}, \quad b_k = \frac{1}{2} \left( 1 + \frac{\kappa_1^2 - \kappa_2^2}{(2 + \kappa_1 + \kappa_2)^2} \right) \quad (k \geq 2). \end{aligned}$$

p. 301, bottom :

$$(0.2) \quad \mathcal{F}_J(x) = \begin{cases} \int_x^{u^+} (2 + \kappa_1 + \kappa_2) \frac{\sqrt{(t - u^+)(t - u^-)}}{t(1 - t)} dt & \text{if } u^+ \leq x < 1, \\ \int_x^{u^-} (2 + \kappa_1 + \kappa_2) \frac{\sqrt{(t - u^+)(t - u^-)}}{t(1 - t)} dt & \text{if } 0 < x \leq u^- \end{cases}$$

## JFA paper [2]

p. 14, top : replace both formulas by 0.2 above.

p. 15 line 9 : p.266 or 289 instead of 287

p. 16 line 5 :  $o(h^2)$  instead of  $o(h)$

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### Unit circle paper, RMTA [3]

p. 3 (*A.R. : I don't know the line*) Ref. [50] has to be replaced by [51]. Actually [50] has not to be quoted anywhere.

p. 11 line 3 and p.12 line -11 : replace  $1/\pi$  by  $1/(2\pi)$ .

p.21 formula 4.9 :

$$(0.3) \quad \mathcal{F}_{HP}^-(e^{i\theta}) := \int_{\theta}^{\theta_a} (1 + \mathbf{d}) \frac{\sqrt{\sin^2(\theta_a/2) - \sin^2(\varphi/2)}}{\sin(\varphi/2)} d\varphi$$

p. 22 line 1: Delete “ $g \leq 1$ ” .

p. 24 , in Lemma 4.8 and in (4.20):  $\Sigma_{i=0}^{n-1} \rightsquigarrow \Sigma_{i=1}^{n-1}$

p. 30 line 9: “First, assume that the support of  $\Sigma$  is infinite” has to be replaced by “First assume that  $\Sigma$  is nontrivial, that is,  $\text{tr}\langle\langle\varphi, \varphi\rangle\rangle > 0$  for all nonzero polynomials  $\varphi$  (see Chapter 2 of [1])”.

p. 30 line -3: “matrix measures on  $\mathbb{T}$  with infinite support” has to be replaced by “nontrivial normalized matrix measures on  $\mathbb{T}$ ”.

p. 35 line 6: read  $-\alpha_2^\dagger \alpha_1$  instead of  $-\alpha_1 \alpha_2^\dagger$ .

p. 38: In Theorem 6.9, Corollary 6.10 and Conjecture 6.11, “with infinite support” has to be replaced by “be nontrivial”.

### Block paper, Bernoulli [4]

p. 5 line -8: “First suppose that  $\Sigma$  has infinite support.” has to be replaced by “First suppose that  $\Sigma$  is nontrivial.”

p. 6 line 5: “However, ...” has to be replaced by “However, we will still be able to define a Jacobi matrix  $J_n$  as in (1.8), such that  $\Sigma^X = \Sigma^{J_n}$ , and then  $A_1, \dots, A_{n-1}$  and  $B_1, \dots, B_n$  may still be defined.”

p. 6: In Lemma 2.1 “Suppose  $\Sigma$  is a matrix measure with infinite support in  $[0, \infty)$ .” has to be replaced by “Suppose  $\Sigma$  is a nontrivial matrix measure with support in  $[0, \infty)$ .”

p. 10 line 5 :  $o(\|H\|^2)$  instead of  $o(\|H\|)$

## Jacobi paper, RMTA [5]

Lemma 2.2, formula (2.20)

$$U_k = (M_k^+ - M_k^-)^{-1}(M_k - M_k^-), \quad 1 \leq k \leq 2n - 1.$$

Replace formulas (3.6)-(3.7) by 0.2 above.

A few lines before Corollary 3.3

$$\mathcal{F}_J^\pm(u^\pm + h) = (2 + \kappa_1 + \kappa_2) \frac{2\sqrt{u^+ - u^-}}{3u^\pm(1 - u^\pm)} h^{3/2} + o(h^{3/2}).$$

## Multi-cut paper, JFA [6]

p. 5 line 1

$$r_n = (b_1, a_1, \dots, b_{n-1}, a_{n-1}, b_n).$$

p. 10, formula (3.6)

$$F(x) = \begin{cases} \int_x^x A(t)\sqrt{t^2 - 4} dt & \text{if } x > 2 \\ \int_x^{-2} A(t)\sqrt{t^2 - 4} dt & \text{if } x < -2. \end{cases}$$

p. 11, after (3.14), read twice (A3) instead of (A2).

## References

- [1] D. Damanik, A. Pushnitski, and B. Simon. The analytic theory of matrix orthogonal polynomials. *Surv. Approx. Theory*, 4:1–85, 2008.
- [2] F. Gamboa, J. Nagel, and A. Rouault. Sum rules via large deviations. *J. Funct. Anal.*, 270(2):509 – 559, 2016.
- [3] F. Gamboa, J. Nagel, and A. Rouault. Sum rules and large deviations for spectral measures on the unit circle. *Random Matrices Theory Appl.*, 6(1), 2017.
- [4] F. Gamboa, J. Nagel, and A. Rouault. Sum rules and large deviations for spectral matrix measures. *Bernoulli*, 25(1):712–741, 2019.
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- [7] F. Gamboa and A. Rouault. Large deviations for random spectral measures and sum rules. *Applied Mathematics Research eXpress*, 2011(2):281–307, 2011.